

Walter Lee Davis, Jr. &  
Leonard H. Neiman

# Council of Excellence Award



National Association of Estate Planners & Councils

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## COUNCIL OF EXCELLENCE AWARD APPLICATION INFORMATION

### INTRODUCTION



Now in its fifth year, the National Association of Estate Planners & Councils Council of Excellence Award is designed to recognize those councils affiliated with the NAEPC that are positioned toward success. The program criteria were carefully developed with all council sizes in mind by a task force of council leaders, past presidents of NAEPC, and current board members.

We are excited that your council is interested in applying for this honor and look forward to receiving your application by the **May 15, 2019** deadline. Should you have questions during the process, please contact our national office.

The Council of Excellence Award is named for two individuals who truly sought to strengthen the bond between NAEPC and its affiliated councils during their terms on the board. Walter Lee Davis, Jr. served as president of the association in 2008 and was instrumental in forming the Council Relations Committee, a group of volunteer members who are charged with being a liaison between affiliates and the national association. Leonard H. Neiman served the association as a board member for over fifteen years. He worked tirelessly to organize information about affiliated and unaffiliated councils around the country, and to make contact with their leadership to explain the benefit of membership.

### AWARD

Council of Excellence Awards are offered within the following council sizes:

Extra Small/Small (1-100 members)

Medium (101 – 250 members)

Large (251 – 400 members)

Extra Large (401 members or more)

Each application will be assessed in the following seven areas: Membership Growth & Retention; Leadership & Council Management; Programming & Events; Communications; Financial Health; Involvement with NAEPC; and Estate Planning Outreach.

The award ceremony will take place at the [Annual Estate Planning Strategies Conference during Council Leadership Day](#). Each winning council will be entitled to:

- Complimentary registration for two board members to attend the Annual Estate Planning Strategies Conference the year in which the honor is awarded
- Complimentary group webinars for an entire year (one feed that can be viewed by a group of members in a single location)
- The option of utilizing the “No-charge Speaker Program” with NAEPC covering reasonable travel and lodging expenses to do so
- An announcement of the award in the NAEPC newsletters and on [www.NAEPC.org](http://www.NAEPC.org)

The committee may also bestow the “5 Star Council” award, an honor presented to a select group of councils that exhibit strong qualities and employ best practices. 5 Star Councils can take advantage of an entire year of complimentary group webinars (one feed that can be viewed by a group of members in a single location).



## PROCESS & TIMELINE

Councils that wish to apply for the 2019 Council of Excellence Award should complete the enclosed application and return it to NAEPC on or before **Wednesday, May 15, 2019**. Electronic submission is preferred.



Winning councils will be notified via email no later than July 31, 2019. All councils that were not chosen this year will receive a letter from our national office, postmarked no later than July 31, 2019.

It's not too early to plan your trip to the November 5 - 8, 2019 Annual NAEPC Advanced Estate Planning Strategies Conference, however. Registration opens on or near April 1<sup>st</sup> and all council representatives are encouraged to attend and to register early. Should your council be chosen as a Council of Excellence, any prepaid registration fee for someone entitled to a complimentary registration will be promptly refunded.

## GUIDELINES FOR COMPLETING & SUBMITTING THE APPLICATION

This application is designed for simplicity and is quick and easy to complete.

The review committee prefers electronic submissions in a PDF format. Please contact the NAEPC office if your file is larger than 10MB in size to arrange for an alternative delivery address. You will receive an email confirmation that your application has been received. The application must include all required attachments, which are described on the next page.

A PowerPoint presentation, "Achieving Council Excellence, Wise Ways to Exceed Member Expectations and Score Higher on the Council of Excellence Award" can be downloaded [HERE](#).



**The final application/application packet should not exceed 25 pages including the application, required attachments, and supplemental information.** You may include a one page cover letter, one page general statement, and up to one page of narrative per each primary category. Please ensure that all pages of the application are legible and prepared with an appropriately-sized font. For consistency of review, documents other than those specifically requested will be disregarded (examples: financial statements, meeting outlines, bylaws, etc.).

*A Word about Narrative* - The narrative you provide is important and helps us gain an understanding of the council and its style and member service, and assists the review committee in its evaluation. Please label each page clearly. Although not required, we recommend including hyperlinks to specific areas of the council website or outside websites that contain information specific to your application, when applicable. It is recommended that the narrative include commentary on items not covered within the application's check boxes, or provide clarification of why a particular box may not have been checked.

Occasionally, errors or incorrect/inconsistent information is found during the committee's review of the application. If NAEPC informs a council of same, the council must respond as requested by the date provided by NAEPC in the follow up notification. Councils that fail to respond or respond after the date requested may be disqualified.

**Councils that have previously been awarded Council of Excellence or 5 Star Council status are permitted to apply again in 2019.** *Please note, this policy is subject to change in future years.*

## QUESTIONS

Please contact the NAEPC office at (866) 226-2224 or email [admin@naepc.org](mailto:admin@naepc.org) with questions.

## COUNCIL OF EXCELLENCE AWARD APPLICATION FOR AWARDS IN 2019

### GENERAL COUNCIL INFORMATION

Application Date \_\_\_\_\_

Council Name \_\_\_\_\_

Primary Contact for Application\* \_\_\_\_\_

Phone \_\_\_\_\_ Email \_\_\_\_\_

Your position within the council (indicate one)      Council Executive      Board Member      Officer

Current Membership Total \_\_\_\_\_

Final Membership Total of Most Recently-Closed Fiscal or Calendar Year \_\_\_\_\_

Date of Formation \_\_\_\_\_

Our council is applying in the following category:

Extra Small/Small (1-100 members)

Large (251 – 400 members)

Medium (101 – 250 members)

Extra Large (401 members or more)

Please complete the entire application and return it, along with the following required documents, to the NAEPC office by **Wednesday, May 15, 2019**. While electronic submissions to [admin@naepc.org](mailto:admin@naepc.org) are preferred, hard copy submissions will be accepted if postmarked by Wednesday, May 15, 2019.

Additional Documents:

- Complete programming schedule for two most recently completed fiscal or calendar years
- Three recent meeting announcements
- Other items you feel would be helpful for the review committee that fit within the guidelines for completing the application (see “Guidelines for Completing the Application” found on page two of this application packet)

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\*NAEPC will communicate with the primary contact for any questions during application review and to inform the council of the outcome of the application. Follow up email for Council of Excellence of 5 Star Council awardees will be sent to all officers currently on record with NAEPC.

## TELL US YOUR STORY

This portion of the application is where you have an opportunity to tell us your story; what makes your council shine. Please check the boxes in each section as appropriate and consider including one page of narrative for each section. Don't be shy! Although we've given guidance for what you might want to include in each narrative, you are free to include any information you feel fits in that particular section.



Remember, applications must be received electronically or postmarked by Wednesday, May 15, 2019 and should not be more than 25 total pages in length.

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### MEMBERSHIP GROWTH & RETENTION

The core value of NAEPC and its affiliated councils is support of the team concept of estate planning. As a result, membership should be reflective of the team. Please check all of the items below that apply to your council.

- Our board adopts a retention and growth goal each year and systematically monitors the progress of both, along with our total membership number.
- We have an active membership committee.
- Our board has a process by which we regularly review the disciplines accepted for membership and make changes when indicated.
- Our council has a guest registration policy that permits non-member attendance at our events; we consistently recognize guests at meetings; and, we attempt to ensure that guests are approached by or have access to board members or other volunteers tasked with welcoming duties.
- We have alternative membership categories (junior, student, associate, etc.)
- We have conducted a general membership survey in the last three years and are responsive to the feedback provided.
- We have a young member initiative.
- We recognize new members.
- We have a member-to-member recruitment campaign on an annual basis.
- We celebrate member milestones.
- Members are encouraged to be active and engaged by being invited to serve on committees or to participate in task force work.
- We have joint meetings with our allied professional organizations.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: recent recruitment campaigns, new or innovative recruitment events, young member initiatives, social media efforts that contributed to growth, etc.*

## LEADERSHIP & COUNCIL MANAGEMENT

The key determinant in assuring the longevity of an estate planning council is an active and engaged board, chosen fairly from the membership at-large. Please check all of the items below that apply to your council.

- The council has a succession plan in place with regard to officers and maintains an eye to diversity with regard to the board structure.
- We conduct a yearly self-assessment, whether using this application or other methods as a guide, and plan meetings and council activities based on the results.
- We have current bylaws, follow them, and review them regularly.
- We have a council executive / paid staff member.  
Our council has secured:
  - (a) general liability insurance.
  - (b) directors & officers insurance.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: stories of re-invigorating a board of directors, new approaches to choosing a board of directors, recent bylaw reviews and changes and the reason for doing so, etc.*

## PROGRAMMING & EVENTS

Estate planning councils are often praised for two of their strongest qualities – providing an excellent networking opportunity and offering outstanding professional education. Please check all of the items below that apply to your council.

- We offer relevant, timely, and interesting programs and events that support the multi-disciplinary team concept of estate planning and are responsive to current issues in the estate planning profession.
- Throughout our programming year or season, all of the disciplines are represented within the programming schedule.
- We focus on ensuring that at least 25% of our members attend one or more programs each year.
- We have implemented special, non-educational events in an effort to appeal to a larger cross-section of members and potential members.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: statistics on member engagement/attendance, stories of exciting or innovative events or approaches to programming, availability of continuing education credit, etc.*

## COMMUNICATIONS

Communication with members as well as within the larger professional community is important to membership growth and retention. Please check all of the items below that apply to your council.

- Our website is updated and current, promotes our activities, and is a resource for members and visitors.
- Regular meetings and events are scheduled to avoid overlap with our related professional organizations and are observant of all holidays.
- Our announcements are thorough and include all pertinent information: speaker, title, creative write-up/description, topic, location, how to register, etc.
- A full schedule of events is disseminated early in the year so members can reserve them on their calendars.
- Specific meeting and event announcements are sent a minimum of one month in advance and at least one reminder is sent until the event takes place.
- Payments for dues and/or meetings are accepted in a manner that is convenient to our members and/or guests.
- A membership directory is accessible to consumers and other professionals who may be looking for a referral (examples: online member listing, electronic or hard copy directory, etc.)

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: general social media efforts designed for member engagement, a refreshed look at meeting announcements, stories of substantive changes to the website, etc.*

## FINANCIAL HEALTH

A financially healthy council is a strong council. Please check all of the items below that apply to your council.

- A budget is prepared yearly and monitored, our board hears a financial report from the treasurer on a regular schedule, and adjustments are implemented when necessary.
- We accept sponsors for meetings, our website, or other purposes.
- We operated under budget during the most recently closed calendar or fiscal year and expect to do so again for the current calendar or fiscal year.
- Our board has a plan to maintain at least six months of operating expenses in reserve.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: significant growth in non-dues revenue, new or revamped sponsorship campaigns, plans to grow reserves, etc.*

## INVOLVEMENT WITH NAEPC

Check all of the items below that apply to your council.

- Our board contains a position that serves as a liaison to NAEPC and this person reports regularly at our board meetings.
- We have a website hosted through NAEPC or have a website hosted by another vendor that contains ample links to the NAEPC national website and the information contained within regarding member benefits, etc.
- We participate in the Every Council Campaign or distribute the publication or contents from within via email and/or in print to our members.
- Accredited Estate Planner® designees within our membership are recognized regularly.
- We have participated in the Council Nominated Accredited Estate Planner® designation program by nominating one or more members within the last three years.
- Our council sends at least one leader and/or our executive to Council Leadership Day at the Annual Estate Planning Strategies Conference every year and we also participate in Regional Leadership Day, when that program is in our geographic region.
- We distribute information about the Annual Estate Planning Strategies Conference to our membership at meetings or via email and encourage our council members to attend while together at meetings and when other opportunities allow.
- Our estate planning council leadership explains the relationship between our council and NAEPC, ensuring that our members understand what the council's membership in NAEPC provides by way of benefits.
- We forward information provided by NAEPC about the webinars to our membership.
- We offer mention of the *NAEPC Journal of Estate & Tax Planning* to our membership and ensure that they are informed when a new issue is available.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: how your council is integrating with NAEPC, stories of how you are ensuring your members understand the council's relationship with NAEPC, whether someone within your leadership or membership is volunteering with NAEPC, whether you are using the programming or other resources offered by NAEPC, etc.*

## ESTATE PLANNING OUTREACH

NAEPC encourages councils to engage in consumer outreach and recommends doing so in conjunction with National Estate Planning Awareness Week. Please check all of the items below that apply to your council.

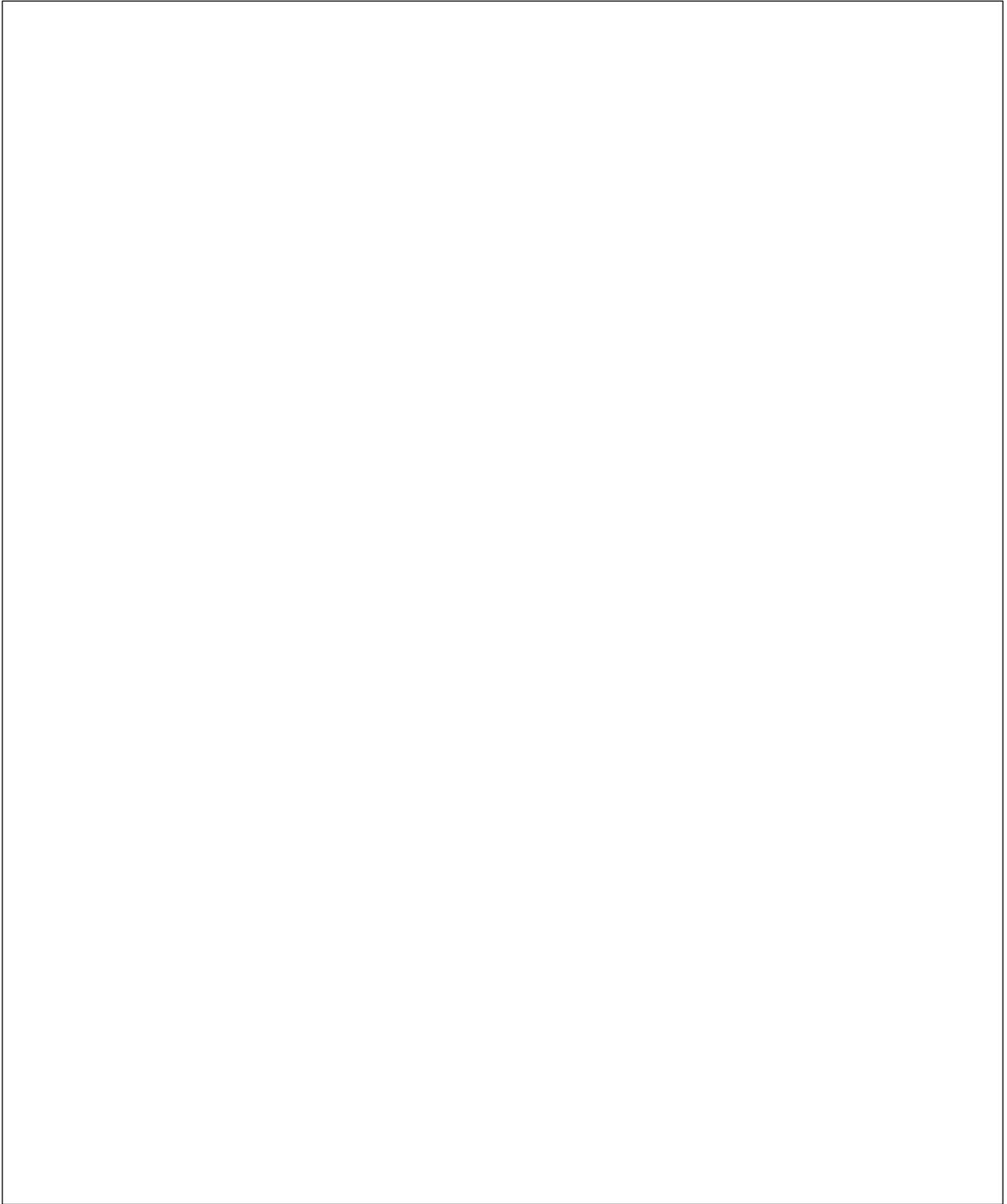
- We host/co-host an Estate Planning Day in our community that provides consumer-focused estate planning-related information.
- We offer college outreach and/or award scholarship grants.

\_\_\_ See the attached narrative for more information about this section.

*Examples of information to provide could include, but are not limited to: description of existing consumer programs or plans for an upcoming event, an explanation of scholarship programs or initiatives designed to reach college students with an interest in estate planning, special supplements in local papers, etc.*

## SUCCESES, CHALLENGES & WHAT MAKES US UNIQUE

Each of the 275+ councils affiliated with NAEPC experiences success, encounters challenges, and has programs or services in place that make them distinctive. Please take a moment to share your story:

A large, empty rectangular box with a thin black border, intended for a user to write their story about successes, challenges, and unique aspects of their council.